



1645  
Atty Dkt No. 8325-0014  
PATENT

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on 13 March 2002.

3/13/02 Susan LaMont  
Date Signature

#9

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

WOLFFE et al.

Serial No.: 09/844,508

Group Art Unit: 1645

Filing Date: April 27, 2001

Examiner: Unassigned

Title: TARGETED MODIFICATION OF CHROMATIN STRUCTURE

TRANSMITTAL LETTER

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed are the following:

- 1) Power of Attorney by Assignee (with copy of assignment); and
- 2) Certificate Under 37 CFR 3.73(b) (with copy of assignment).

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 which may be required by this paper, or to credit any overpayment, to Deposit Account No. 18-1648.

Respectfully submitted,

Date: March 13, 2002

By: Dahna S. Pasternak  
Dahna S. Pasternak  
Registration No. 41,411

ROBINS & PASTERNAK LLP  
545 Middlefield Road, Suite 180  
Menlo Park, CA 94025  
Telephone: (650) 325-7812  
Facsimile: (650) 325-7823



Atty Dkt No. 8325-0014  
Client No. S14-US1  
PATENT

Thereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on 13 March 2002.

3/13/02 Susan LaMont  
Date Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

WOLFFE et al.

Confirmation No.: 9058

Serial No.: 09/844,508

Group Art Unit: 1645

Filing Date: April 27, 2001

Examiner: Unassigned

Title: TARGETED MODIFICATION OF CHROMATIN STRUCTURE

**POWER OF ATTORNEY BY ASSIGNEE**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:


SANGAMO BIOSCIENCES, INC., assignee of the above-identified application by assignment (copy attached), hereby appoints Sean Brennan, Ph.D., Reg. No. 39,917; Roberta L. Robins, Reg. No. 33,208; Dahna S. Pasternak, Reg. No. 41,411; and Gary R. Fabian, Ph.D., Reg. No. 33,875 as its attorneys/agents with full power of substitution to prosecute this application and to transact all business in the Patent and Trademark Office in connection therewith.

Atty Dkt No. 8325-0014  
USSN: 09/844,508  
PATENT

Please address all further communications to Dahna S. Pasternak at the following address:

Customer No. 20855  
ROBINS & ASSOCIATES  
90 Middlefield Road, Suite 200  
Menlo Park, CA 94025  
Telephone: (650) 325-7812  
Fax: (650) 325-7823.

Date: 3-6-02

  
SANGAMO BIOSCIENCES, INC.  
Name: Peter Bluford  
Title: VP, Corp. Development

## **ASSIGNMENT**

## **JOINT**

THIS ASSIGNMENT, by Alan P. WOLFFE and Trevor COLLINGWOOD (hereinafter referred to as the assignors), residing at Orinda, CA and San Pablo, CA respectively, witnesseth:

WHEREAS, the said assignors have invented certain new and useful improvements in TARGETED MODIFICATION OF CHROMATIN STRUCTURE set forth in an application for Letters Patent of the United States, bearing Serial No. 09/844,508 and filed on April 27, 2001; and

WHEREAS, Sangamo BioSciences, Inc. a corporation duly organized under and pursuant to the laws of Delaware, and having its principal place of business at Point Richmond Tech Center 501 Canal Blvd., Suite A100 Richmond, CA 94804 (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

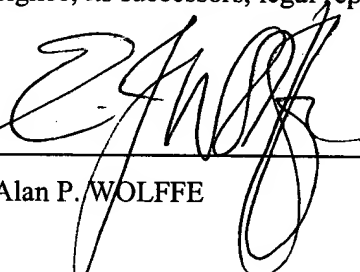
NOW THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient considerations, the receipt of which is hereby acknowledged, the said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto the assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Union for the Protection of Industrial Property, the same to be held and enjoyed by the said assignee, for its own use and behoof and the use and behoof of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

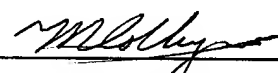
AND for the same consideration, the said assignors hereby covenant and agree to and with the said assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, the said assignors are the sole and lawful owners of the entire right, title and interest in and to the said inventions and the application for Letters Patent above-mentioned, and that the same are

unencumbered and that the said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, the said assignors hereby covenant and agree to and with the said assignee, its successors, legal representatives and assigns, that the said assignors will, whenever counsel of the said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of the said assignee, its successors, legal representatives and assigns.

AND the said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to the said assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use and behoof of the said assignee, its successors, legal representatives and assigns.

Date 9/17/01 Name of Inventor   
Alan P. WOLFFE

Date 9/17/01 Name of Inventor   
Trevor COLLINGWOOD

ATTORNEY OR PARTY WITHOUT ATTORNEY (Name, bar number, and address): (415) 442-0900      TELEPHONE AND FAX NOS. W. SCOTT THOMAS, ESQ. (STATE BAR NO. 63597) BROBECK, PHLEGER & HARRISON LLP One Market, Spear Street Tower  San Francisco, CA 94105 ATTORNEY FOR (Name): Elizabeth J. Wolffe	<b>FOR COURT USE ONLY</b>  <div style="font-size: 2em; letter-spacing: 0.5em;">F I L E D</div> AUG 29 2001  CLERK OF THE COURT COUNTY OF CONTRA COSTA <i>E. Melendres</i> Deputy Clerk
SUPERIOR COURT OF CALIFORNIA, COUNTY OF Contra Costa STREET ADDRESS: 725 Court St., Room 103 MAILING ADDRESS: CITY AND ZIP CODE: Martinez, CA 94553-1233 BRANCH NAME:	
ESTATE OF (Name): ALAN P. WOLFFE  <div style="text-align: right;">DECEDENT</div>	
<div style="text-align: center;"><b>LETTERS</b></div> <div style="display: flex; justify-content: space-between;"> <div> <input type="checkbox"/> TESTAMENTARY  <input type="checkbox"/> OF ADMINISTRATION WITH WILL ANNEXED         </div> <div> <input type="checkbox"/> OF ADMINISTRATION  <input checked="" type="checkbox"/> SPECIAL ADMINISTRATION         </div> </div>	
CASE NUMBER: <div style="font-size: 1.2em;">P01-01211</div>	

**LETTERS**

1. ☐ The last will of the decedent named above having been proved, the court appoints (name):
  - a. ☐ executor.
  - b. ☐ administrator with will annexed.
2. ☒ The court appoints (name): Elizabeth J. Wolffe
  - a. ☐ administrator of the decedent's estate.
  - b. ☒ special administrator of decedent's estate
    - (1) ☒ with the special powers specified in the Order for Probate.
    - (2) ☐ with the powers of a general administrator.
    - (3) ☐ letters will expire on (date):
3. ☐ The personal representative is authorized to administer the estate under the Independent Administration of Estates Act ☐ with full authority ☐ with limited authority (no authority, without court supervision, to (1) sell or exchange real property or (2) grant an option to purchase real property or (3) borrow money with the loan secured by an encumbrance upon real property).
4. ☐ The personal representative is not authorized to take possession of money or any other property without a specific court order.

**AFFIRMATION**

1. ☐ PUBLIC ADMINISTRATOR: No affirmation required (Prob. Code, § 7621(c)).
2. ☒ INDIVIDUAL: I solemnly affirm that I will perform the duties of personal representative according to law.
3. ☐ INSTITUTIONAL FIDUCIARY (name):

I solemnly affirm that the institution will perform the duties of personal representative according to law.  
 I make this affirmation for myself as an individual and on behalf of the institution as an officer.  
 (Name and title):

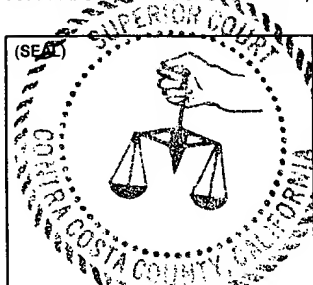
4. Executed on (date): 08/29/01  
 at (place): Martinez, California.

*Elizabeth J. Wolffe*  
 (SIGNATURE)

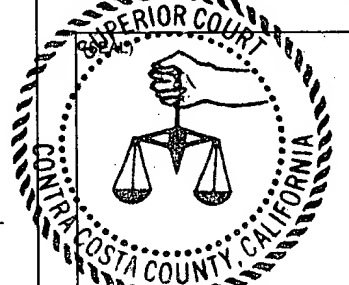
**CERTIFICATION**

I certify that this document is a correct copy of the original on file in my office and the letters issued the personal representative appointed above have not been revoked, annulled, or set aside, and are still in full force and effect.

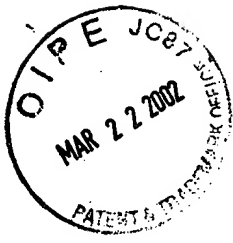
WITNESS, clerk of the court, with seal of the court affixed.



Date: **AUG 29 2001**  
 Clerk, by **CLERK OF THE SUPERIOR COURT**  
*E. Melendres*  
 (DEPUTY)



Date: **SEP 18 2001**  
 Clerk, by **CLERK OF THE COURT**  
*J. L...*  
 (DEPUTY)

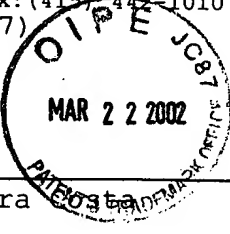


**ESTATE OF ALAN P. WOLFFE  
CONTRA COSTA COUNTY SUPERIOR COURT**

**ATTACHMENT 3d(2) TO ORDER FOR LETTERS  
OF SPECIAL ADMINISTRATION**

ELIZABETH J. WOLFFE, is hereby appointed as Special Administrator of the Estate of ALAN P. WOLFFE, with the following special powers:

1. The power to execute patent applications and related documents as personal representative of the decedent;
2. The power to execute stock certificates and related documents as personal representative of the decedent; and
3. The power to take any other actions necessary, as personal representative, to preserve the assets of the estate.

ATTORNEY OR PARTY WITHOUT ATTORNEY (Name, state bar number, and address): (415) 442-0900 W. SCOTT THOMAS, ESQ. (STATE BAR NO. 63597) BROBECK, PHLEGER & HARRISON LLP One Market, Spear Street Tower San Francisco, CA 94105 ATTORNEY FOR (Name): Elizabeth J. Wolffe		TELEPHONE AND FAX NOS.: Fax: (415) 442-1010 	FOR COURT USE ONLY  FILED AUG 29 2001 By: <i>Emilendro</i>
SUPERIOR COURT OF CALIFORNIA, COUNTY OF Contra Costa STREET ADDRESS: 725 Court St., Room 103 MAILING ADDRESS: CITY AND ZIP CODE: Martinez, CA 94553-1233 BRANCH NAME:			
ESTATE OF (Name): ALAN P. WOLFFE		DECEDENT	
ORDER <input type="checkbox"/> Executor APPOINTING <input type="checkbox"/> Administrator with Will Annexed <input type="checkbox"/> Administrator <input checked="" type="checkbox"/> Special Administrator Order Authorizing Independent Administration of Estate <input checked="" type="checkbox"/> with full authority <input type="checkbox"/> with limited authority		CASE NUMBER: P01-01211	
WARNING: THIS APPOINTMENT IS NOT EFFECTIVE UNTIL LETTERS HAVE ISSUED.			

1. Date of hearing: \_\_\_\_\_ Time: \_\_\_\_\_ Dept./Room: \_\_\_\_\_ Judge: \_\_\_\_\_

#### THE COURT FINDS

2. a. All notices required by law have been given.  
 b. Decedent died on (date): 05/26/01  
 (1) ☒ a resident of the California county named above.  
 (2) ☐ a nonresident of California and left an estate in the county named above.  
 c. Decedent died  
 (1) ☐ intestate  
 (2) ☒ testate  
 and decedent's will dated: July 24, 1996 and each codicil dated:  
 was admitted to probate by Minute Order on (date): \_\_\_\_\_

#### THE COURT ORDERS

3. (Name): Elizabeth J. Wolffe  
 is appointed **personal representative**:  
 a. ☐ executor of the decedent's will  
 b. ☐ administrator with will annexed  
 c. ☐ administrator  
 d. ☒ special administrator  
 D.G. (1) ☒ with general powers  
 (2) ☒ with special powers as specified in Attachment 3d(2)  
 (3) ☒ without notice of hearing  
 (4) ☐ letters will expire on (date): \_\_\_\_\_  
 and letters shall issue on qualification.
4. a. ☒ Full Authority is granted to administer the estate under the Independent Administration of Estates Act.  
 b. ☐ Limited authority is granted to administer the estate under the Independent Administration of Estates Act (there is no authority, without court supervision, to (1) sell or exchange real property or (2) grant an option to purchase real property or (3) borrow money with the loan secured by an encumbrance upon real property).
5. a. ☒ Bond is not required.  
 b. ☐ Bond is fixed at: \$ \_\_\_\_\_ to be furnished by an authorized surety company or as otherwise provided by law.  
 c. ☐ Deposits of: \$ \_\_\_\_\_ are ordered to be placed in a blocked account at (specify institution and location): \_\_\_\_\_ and receipts shall be filed. No withdrawals shall be made without a court order. ☐ Additional orders in Attachment 5c.  
 d. ☐ The personal representative is not authorized to take possession of money or any other property without a specific court order.
6. ☐ (Name): \_\_\_\_\_ is appointed probate referee.

Date: \_\_\_\_\_

**AUG 30 2001**

*[Signature]*  
 JUDGE OF THE SUPERIOR COURT

7. Number of pages attached: 1

☐ SIGNATURE FOLLOWS LAST ATTACHMENT







ESTATE OF ALAN P. WOLFFE  
CONTRA COSTA COUNTY SUPERIOR COURT

ATTACHMENT <sup>3d</sup>2 TO PETITION FOR LETTERS  
OF SPECIAL ADMINISTRATION

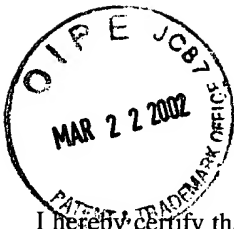
The Petitioner respectfully requests that the court grant Letters of Special Administration to the Petitioner for the purpose of endorsing certain patent applications and stock documentation in connection with the decedent's business.

The Petitioner is the decedent's surviving spouse, and is the nominated Executor and sole beneficiary under the decedent's Will. The decedent was a research scientist, employed by Sangamo BioSciences, Inc., in Richmond, California. In his capacity as employee, the decedent developed a number of inventions, which are the intellectual property of his employer. In order to apply for patents for these inventions, the Petitioner, as personal representative of the decedent, must execute certain documentation. The patent applications must be filed immediately to avoid the loss of any such intellectual property by Sangamo BioSciences, Inc.

The Petitioner has also been advised by the Board of Directors of Sangamo BioSciences, Inc. that she, as personal representative of the decedent, must execute as soon as possible certain stock certificates and other documentation, necessary to transfer the stocks to her name as personal representative, in order for her to exercise her rights as shareholder in the company. These actions are required immediately, and are necessary and proper under the circumstances.

The Petitioner, as sole beneficiary, will be filing a Spousal Property Petition to effect the transfer of the decedent's assets to her pursuant to the terms of the decedent's Will. In the interim, her immediate appointment as Special Administrator is necessary in order to orderly administer and to avoid losses by the estate.





Atty Dkt No. 8325-0014  
S14-US1  
PATENT

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on 13 March 2002.

3/13/02 Susan LaMont  
Date Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

WOLFFE et al.

Serial No.: 09/844,508

Group Art Unit: 1645

Filing Date: April 27, 2001

Examiner: Unassigned

Title: TARGETED MODIFICATION OF CHROMATIN STRUCTURE

**CERTIFICATE UNDER 37 CFR 3.73(b)**

SANGAMO BIOSCIENCES, INC., a corporation, certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of an assignment from the inventors of the patent application identified above. A copy of the assignment is attached.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: 3-6-02

Peter Bluford  
SANGAMO BIOSCIENCES, INC.  
Name: Peter Bluford  
Title: Vice President, Corporate Development